

Docket No. 273059US0X PCT



JC06 Rec'd PCT/PTO 12 AUG 2005

MAIL STOP PCT

PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Dirk ROETTGER, et al.

SERIAL NO: 10/538,359

GAU:

FILED: June 13, 2005

EXAMINER:

FOR: PROCESS FOR PREPARING METAL COMPLEXES FO GROUPS 6 TO 10 OF THE PERIODIC TABLE AND  
THE USE THEREOF AS CATALYSTS

#3

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

### REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 273059US0X PCT		SERIAL NO. 10/538,359	
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Dirk ROETTGER, et al.					
		FILING DATE June 13, 2005		GROUP			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2005/0065387	03/24/05	BELLER et al.			
	AB	2004/0242947	12/02/04	BELLER et al.			
	AC	2005/0038273	02/17/05	ROTTGER et al.			
	AD	2004/0059170	03/25/04	ROTTGER et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
	AO	100 62 577	07/11/02	DE	YES	NO	
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	JACKSTELL et al. "Efficient telomerization of 1,3-butadiene with alcohols in the presence of in situ generated palladium(0)carbene complexes", Journal of Molecular Catalysis A: Chemical, vol. 185, pages 105-112, XP002268559 2002					
	AX	JACKSTELL et al. "A Highly Efficient Catalyst for the Telomerization of 1,3-Dienes with Alcohols: First Synthesis of a Monocarbene palladium(0)- Olefin Complex", Angew. Chem. Int. Ed., vol. 41, no. 6, pages 986-989, XP002268560 2002					
	AY						
	AZ				<input type="checkbox"/> Additional References sheet(s) attached		
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/Applicant</u>
271996US0XPCT	10/538,475	06/07/05		KAIZIK, et al.
273059US0XPCT*	10/538,359	06/13/05		ROTTGER, et al.
262416US0XPCT	10/517,620	12/23/04		ROTTGER, et al.
253885US0XPCT	10/497,034	10/28/04	US2005/0065387 A1	BELLER, et al.
249176US0XPCT	10/490,038	03/19/04	US2004/0242947 A1	BELLER, et al.
244947US0XPCT	10/478,697	12/09/03	US2005/0038273 A1	ROTTGER, et al.
240074US0XPCT	10/470,280	08/08/03	US2004/0059170 A1	ROTTGER, et al.

\*Present Application; listed for information  
NFO/kch